

Substitute Form PTO-1 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 11872-030001	Application No. 09/841,975
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))		Applicant Dung A. Ngo et al.		Filing Date April 25, 2001	Group Art Unit 3727

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### U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If appropriate
	AA	3,153,216	10/13/1964	Klitten			
	AB	3,212,172	10/19/1965	Davis			
	AC	3,233,311	02/08/1966	Giegerich et al.			
	AD	3,434,087	03/18/1969	Hofmann			
	AE	3,548,355	12/15/1970	Martincic et al.			
	AF	3,611,226	10/05/1971	Cotton et al.			
	AG	3,626,587	12/14/1971	Zickar et al.			
	AH	3,708,897	01/09/1973	Martincic et al.			
	AI	3,750,071	07/31/1973	Eley			
	AJ	3,774,298	11/27/1973	Eley			
	AK	4,504,813	03/12/1985	Strang			
	AL	4,599,594	07/08/1986	Siman			
	AM	4,751,488	06/14/1988	Lanoue et al.			
	AN	5,242,760	09/07/1993	Matsuoka et al.			
	AO	5,455,392	10/03/1995	Preu et al.			
	AP	5,470,646	11/28/1995	Okamura et al.			

### Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AQ	0 082 954 A1	07/06/1983	EP				
	AR	2 289 039	05/21/1976	France				
	AS	1,087,594	10/18/1967	Great Britain				
	AT	1,156,369	06/25/1969	Great Britain				

### Other Documents (include Author, Title, Date, and Place of Publication)

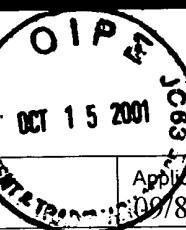
Examiner Initial	Desig. ID	Document
	AU	Copy of PCT Search Report for PCT/US99/06476 dated 07/09/1999
	AV	Copy of PTO Form 892 from U.S. Serial No. 09/276,164

Examiner Signature  
*C.J. Culver*

Date Considered

*3/10/03*

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Sheet 2 of 2

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))	

Attorney's Docket No. 11872-030001	Application No. 09/841,945
Applicant Dung A. Ngo et al.	
Filing Date April 25, 2001	Group Art U 3722

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Other Documents (Include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AW	Patent Abstract of Japan Publication No. JP 58-141515 A
	AX	Patent Abstract of Japan Publication No. 59-121810 A2
	AY	Report titled: "National Appliance and Equipment Energy Efficiency Program, Analysis of Potential for Minimum Energy Performance Standards for Distribution transformers", Draft Final Report dated 03 May 2000 by ark Ellis & Associates, 44, Albert Street, Wagstaffe, NSW 2257, Australia

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Disclosure Form (PTO-1449)